

# Zero-error communication via quantum channels

Andreas Winter (Bristol/Singapore)

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with T. S. Cubitt, D. Leung, W. Matthews

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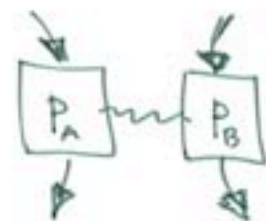
and with S. Severini, R. Duan

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# Outline

I. Classical channels: Shannon & 0-error

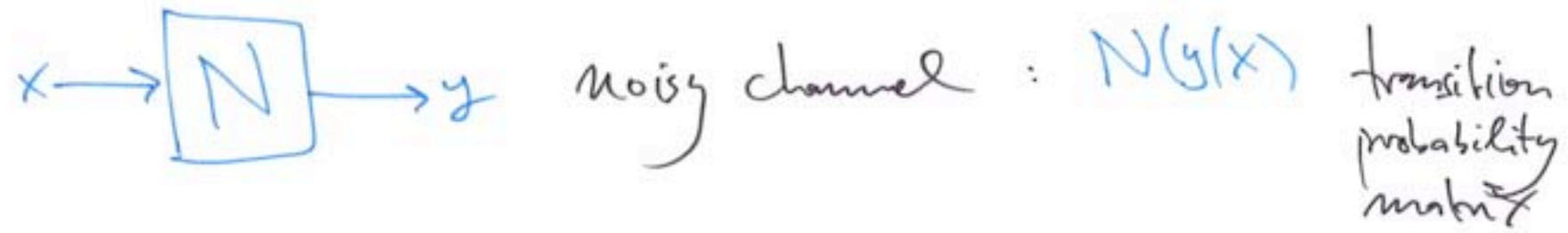
II. Assistance by nonlocal correlations:  $|\phi\rangle^{AB}$  &



III. Quantum channels: superactivation & Lovász- $\vartheta$

IV. Open questions

# I. Communication via classical noisy channels



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Examples : \* Binary symmetric channel,  $BSC_p : \{0,1\} \rightarrow \{0,1\}$

$x \setminus y$	0	1
0	$1-p$	$p$
1	$p$	$1-p$

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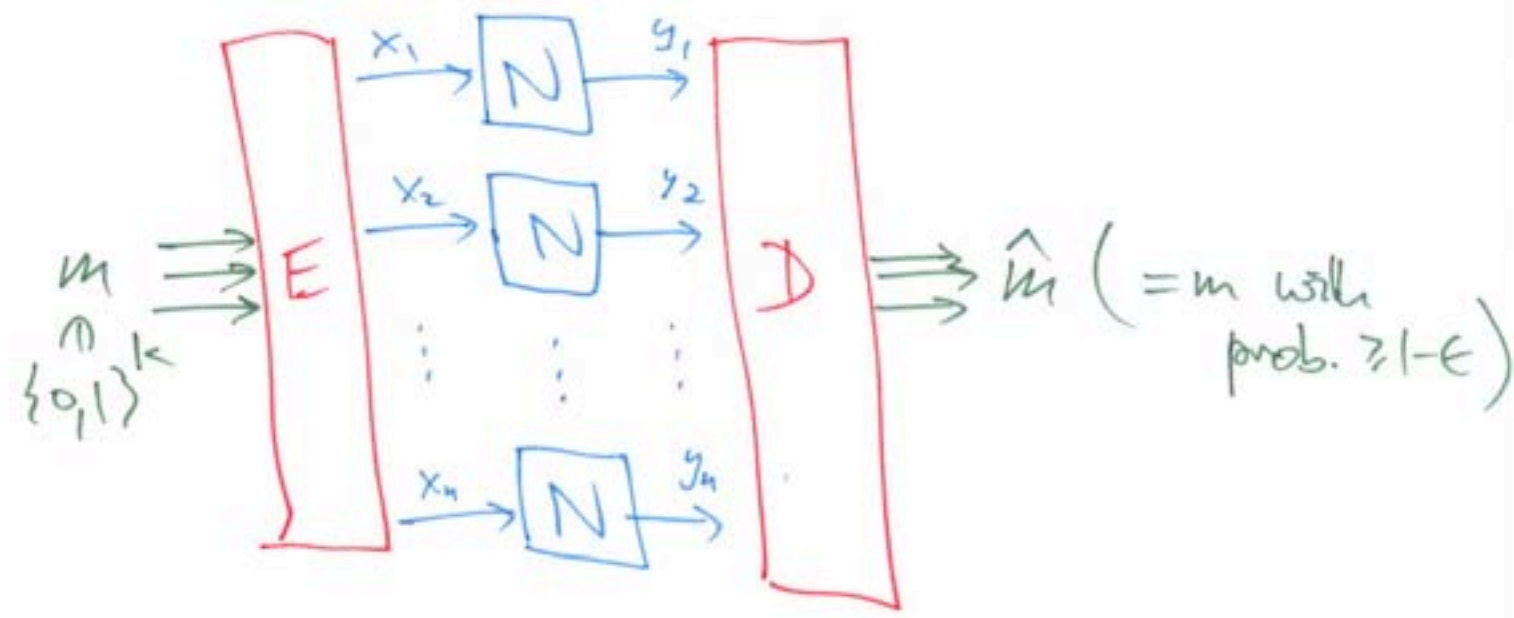
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\* Typewriter channel  $T_n : \{1, \dots, n\} \rightarrow \{1, \dots, n\}$

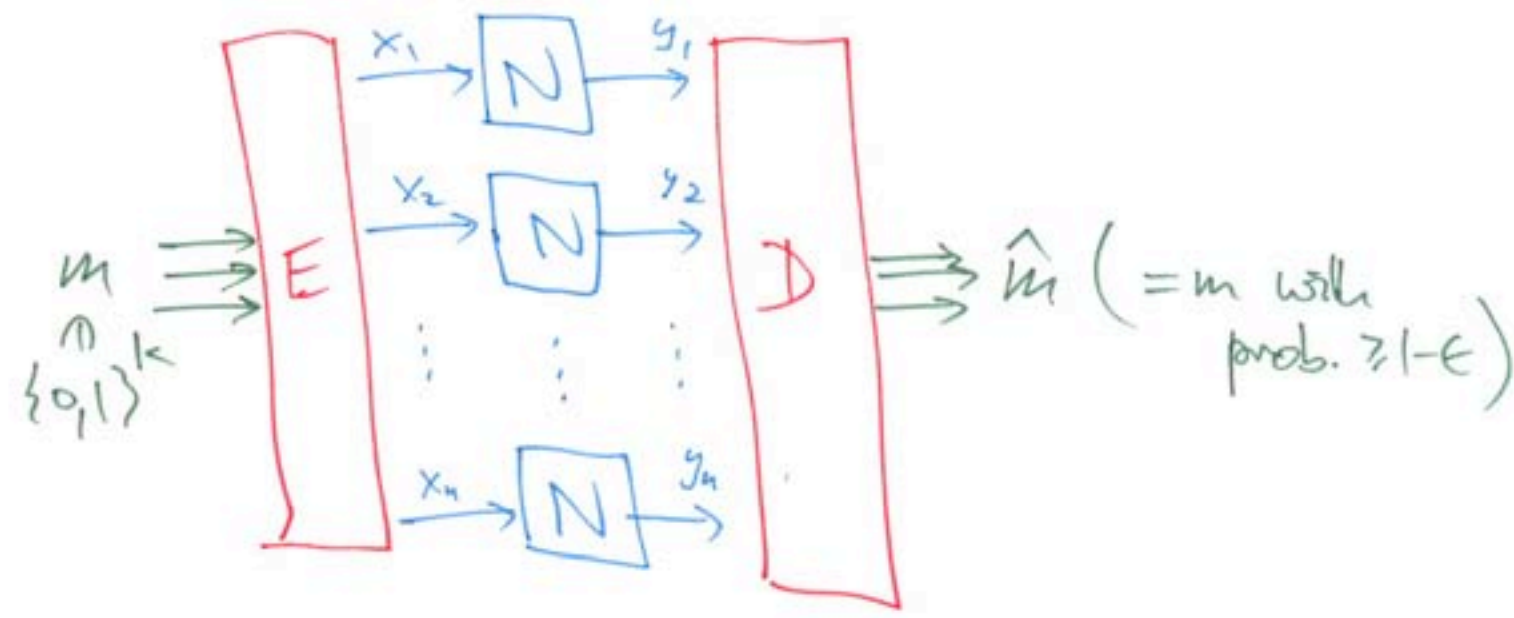


(each transition arrow with probability  $\frac{1}{2}$ )

Shannon 1948: Capacity  $C(N) = \lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{k(\epsilon)}{n}$



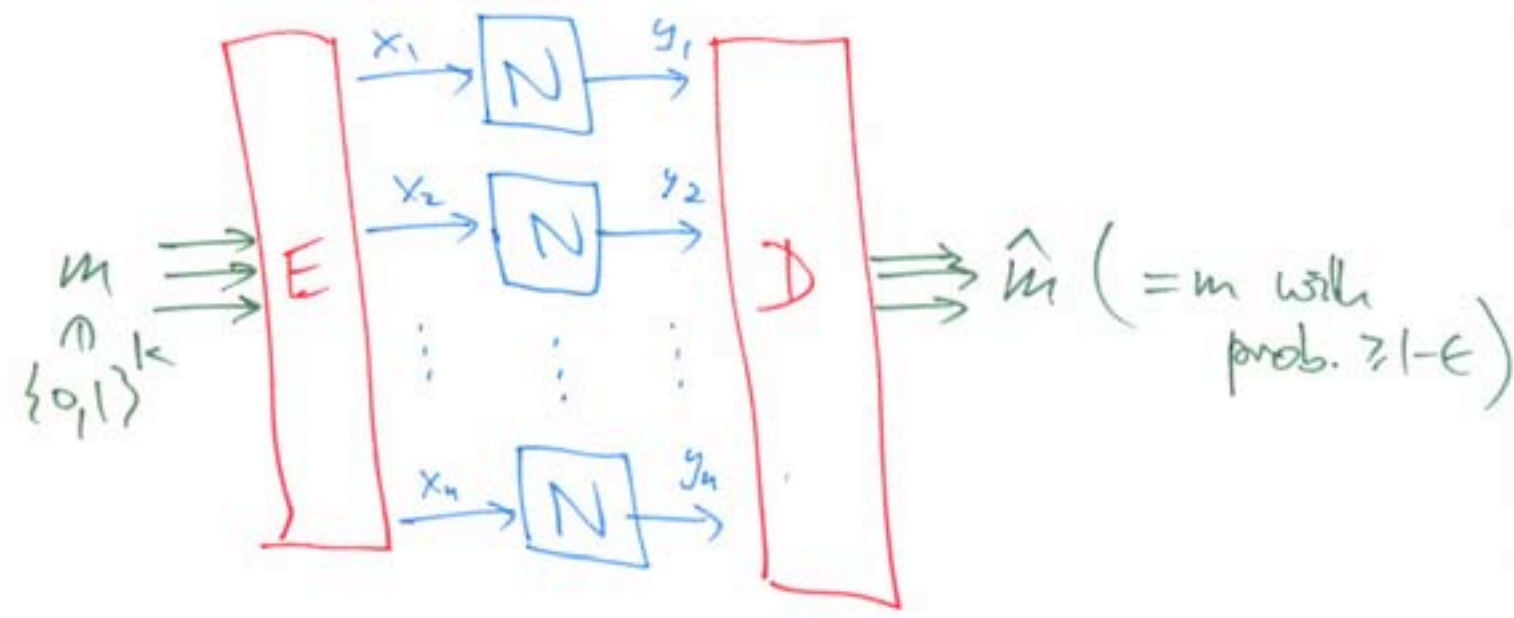
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Thm.  $C(N) = \max_{\substack{X \\ Y=N(X)}} \underbrace{H(X) + H(Y) - H(XY)}_{\substack{\text{"mutual information"} \\ I(X:Y)}}$



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⚠  $C(N) > 0$  for all non-trivial  
( $\equiv$  non-constant) channels

$$C(N) = \lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{k(\epsilon)}{n}$$

I-3

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w.l.o.g.  $n=1$

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$=: C_0(N)$  zero-error capacity

For  $K(0)$ : different messages  $m, m'$

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→ different encodings  $x = E(m), x' = E(m')$

... must lead to perfectly distinguishable output

distributions  $N(\cdot|x) \perp N(\cdot|x')$

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Adjacency matrix  $\Gamma(y|x) = \Gamma N(y|x)$



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Adjacency matrix  $\Gamma(y|x) = \Gamma[N(y|x)]$

In fact: confusability graph  $G = G(N)$  with vertices  $x, x'$  connected iff confusable

With confusability graph  $G$ : Code  $\equiv$  independent set  
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I-4

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↔ Strong graph product  $G_1 \times G_2$

- Cartesian product of vertex sets
- $x_1 x_2 \sim x'_1 x'_2$
- ⊕  $x_1 \sim x'_1 \ \& \ x_2 \sim x'_2$   
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Examples:

- If all  $N(y|x) \neq 0$  [e.g. BSC<sub>p</sub>], then  $G =$  complete graph
- For typewriter channel  $T_n$ ,  $G = n$ -gon.

E.g.  $n=5$   pentagon

Remarks: (i)  $C_0(N) = G_0(f) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \alpha(f^n)$

(ii)

(iii)

(iv)



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(ii) Every  $G = (V, E)$  occurs as confuseability graph:

"standard construction"  $N: V \rightarrow E$

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$\alpha(\square) = 2$  but  $\alpha(\square \times \square) = 5$ ,  
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(iv) One of the biggest open problems in graph theory is to compute  $C_0(\Gamma)$ .

E.g. only 1979, Lovász showed  $C_0(\square) = \frac{1}{2} \log 5$ ,

but  $C_0(\text{heptagon } \heptagon)$  is still open...

## Upper bounds on $\alpha(G)$ :

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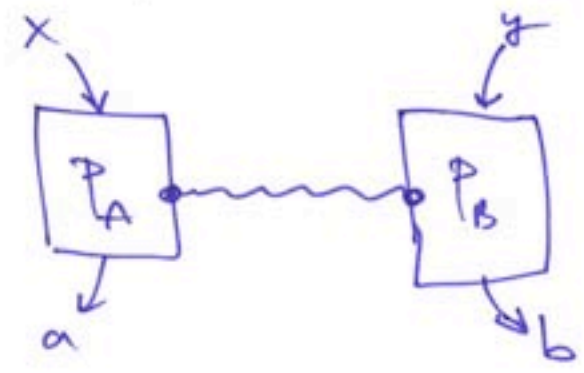
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• Best known upper bound, except for some special graphs [Haemers '79; Alon '80]

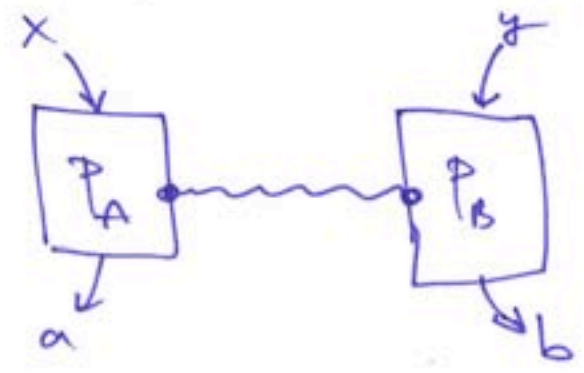
## II. Assistance by nonlocal correlations

In addition to  $N$ , we'll now grant Alice and Bob access to pre-shared correlations:



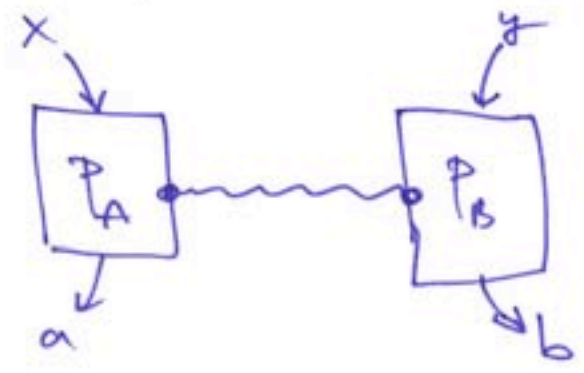
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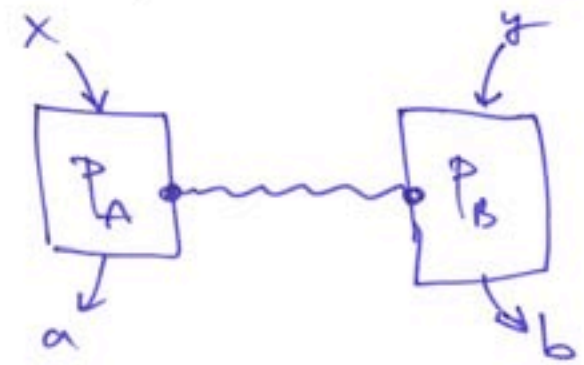


I.e.,

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## Major classes

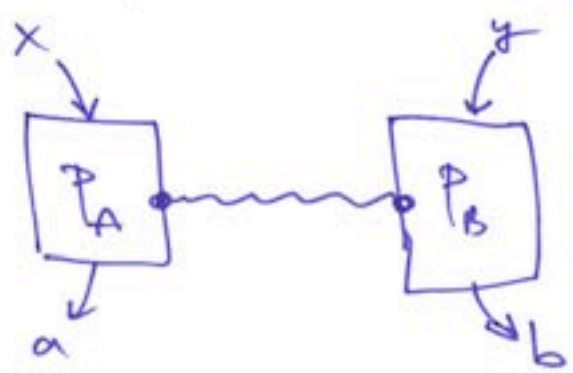
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Entanglement (E)

General non-signalling (NS)

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## CHSH game [ $a, b, x, y$ binary]

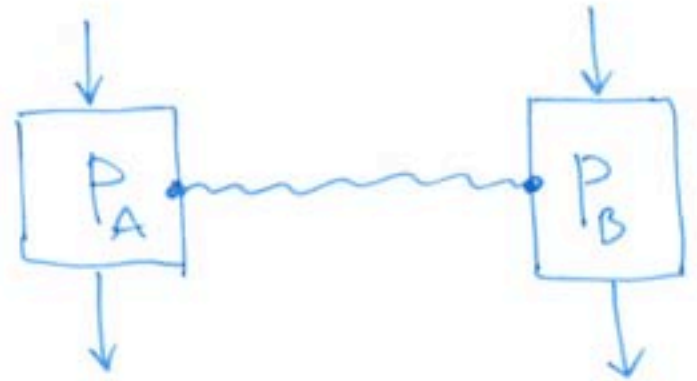
$$P_{\uparrow} \{a \oplus b = x \cdot y\} \leq \frac{3}{4} \text{ (Bell)}$$

$$\text{"} \leq \frac{1}{2}(1 + \sqrt{2}) \text{ (Tsirelson)}$$

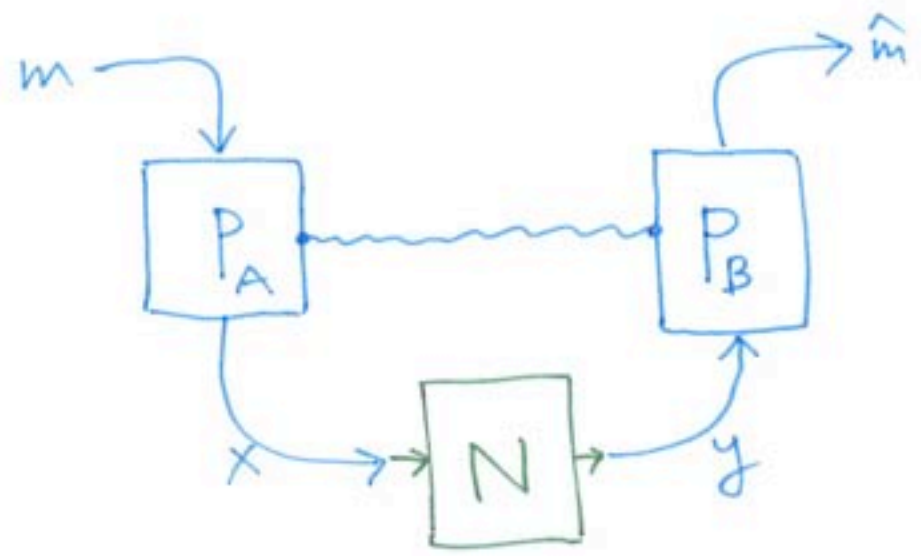
$$\text{"} \leq 1 \text{ (Popescu/Rohrlich)}$$



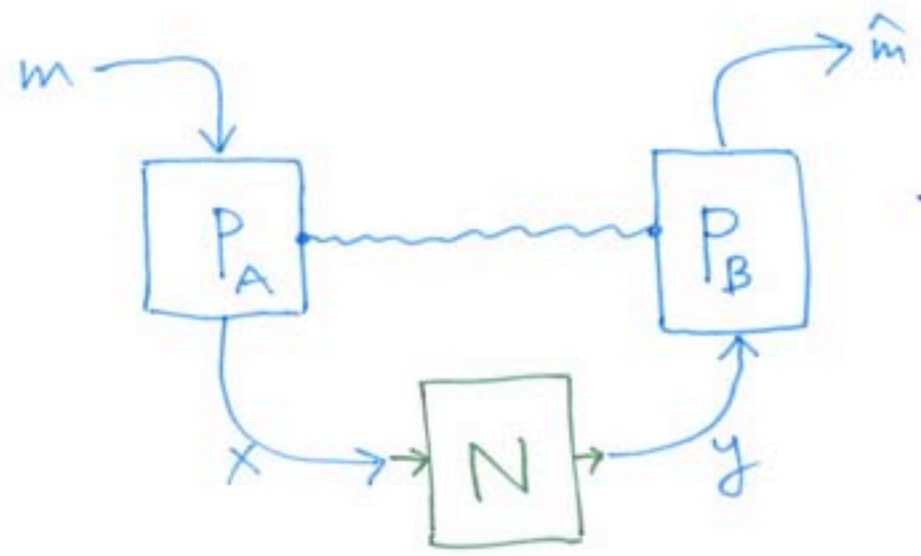
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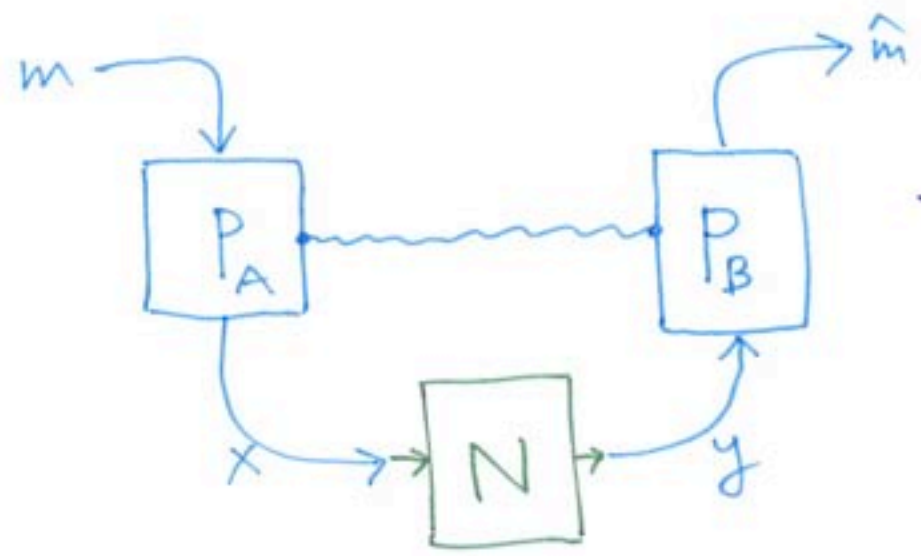


Using these classes to communicate via  $N$ :



Most general code:  
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Constraints (on P):

- Probability distribution —  $P(x\hat{m}|my) \geq 0$ ,  $\sum_{x\hat{m}} P(x\hat{m}|my) = 1$
- $P \in \{\text{restricted class}\}$ : SR, NS linear  
                                                           E more complicated
- $\Gamma(y|x) = 1$  &  $m \neq \hat{m} \Rightarrow P(x\hat{m}|my) = 0$

Looking back on Shannon-theoretic setting:

$$C_{NS}(N) = C_E(N) = C(N) = \max_X I(X:Y)$$

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- Noiseless channel not improved by having nonlocal correlations — encoding  $k+1$  bits into  $k$  bits + NS still has error probability  $\geq 1/2$  by causality!
- By the "Reverse Shannon Theorem" can simulate  $N$  using rate  $C(N)$  of noiseless communication + SR [Bennett/Shor/Sudis/Thapliyal 2001]

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Zero-error: looks differently (though SR clearly doesn't help)...

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II-10

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I.e., however you select one vector  $|\varphi_{j_m}^{(m)}\rangle \in B_m$  from each basis, there will be two that are orthogonal:  
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$\alpha(G) < q$ ; as " $=q$ " would contradict Kochen-Specker condition.

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Construct  $G$  with vertices  $(m, j)$  and edges  $(m, j) \sim (m', j')$  iff  $|\varphi_j^{(m)}\rangle \perp |\varphi_{j'}^{(m')}\rangle$ .

I.e., however you select one vector  $|\varphi_{j_m}^{(m)}\rangle \in B_m$  from each basis, there will be two that are orthogonal:  
 $|\varphi_{j_m}^{(m)}\rangle \perp |\varphi_{j_{m'}}^{(m')}\rangle$

$\alpha(G) < q$ ; as " $=q$ " would contradict Kochen-Specker condition.

$\tilde{\alpha}(G) \geq q$ : Use maximally ent. state  $\Phi_d$ ; for message  $m$ , Alice measures basis  $B_m$  and puts  $(m, j)$  into the channel. Bob's state  $|\varphi_j^{(m)}\rangle$  is one of an orthogonal set indicated by the output  $y$ : measures ...

Open: Is  $C_{OE}(\mathcal{G}) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \tilde{\alpha}(\mathcal{G}^n) \neq C_0(\mathcal{G})$ ?  
(for some graph  $\mathcal{G}$ )



II-11

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(for some graph  $G$ )

To show a separation, would need an upper bound on  $C_0(G)$ ; but the best we have is 'Lovász'  $\log \nu(G)$  — with some exceptions.

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... happens to upper bound even  $C_{\text{OE}}(G)$ ! [Beigi 2010, 1002.2488; Duan/Severini/Alw 2010, 1002.2514 ... see next section]

Open: Is  $C_{QE}(G) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \tilde{\alpha}(G^n) \neq C_0(G)$ ?  
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... happens to upper bound even  $C_{QE}(G)$ ! [Beigi 2010, 1002.2488; Duan/Severini/AW 2010, 1002.2514 ... see next section]

... for which, however, we don't know how to use entanglement to enhance the capacity :-)

Shared NS:

$\alpha_{NS}(\Gamma) := \max. \# \text{ of messages sent w/ 0-error}$   
via  $N$  and arbitrary non-  
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Remark: Shannon (1956) proved that  $N$ , assisted by feedback,  
has zero-error capacity  $C_{0F}(N) = \begin{cases} 0 & \text{if } C_0(N) = 0, \\ \log \alpha^*(\Gamma) & \text{if } C_0(N) > 0. \end{cases}$

Deeper reason for equality?

Example:

$$N: \underset{\text{"}}{[4]} \longrightarrow \underset{\text{"}}{\begin{pmatrix} [4] \\ 2 \end{pmatrix}}$$

$\{1, 2, 3, 4\}$

$\{12, 13, 14, 23, 24, 34\}$

$x \longmapsto \text{random } y = xx' \text{ containing } x$



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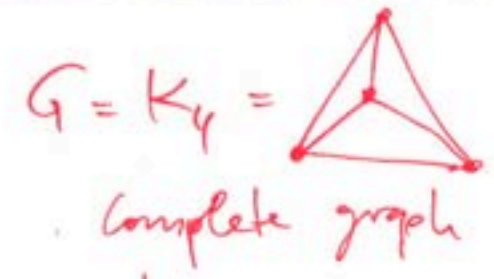
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$x \longmapsto$  random  $y = xx'$  containing  $x$

$\Gamma =$

$x \backslash y$	12	13	14	23	24	34
1	1	1	1			
2				1	1	
3			1			1
4				1	1	1



$\downarrow$

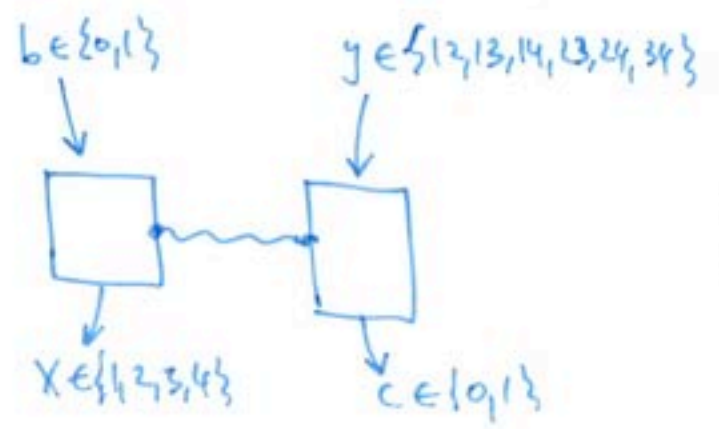
$\alpha(G) = 1, \epsilon_0(G) = 0$

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However,  $\chi^*(\Gamma) = 2$ , and can indeed transmit one bit:



$$P(xc|by) = \begin{cases} \frac{1}{4} \delta_{bc} & : x \in y \\ \frac{1}{4} \delta_{\bar{b}c} & : x \notin y \end{cases}$$

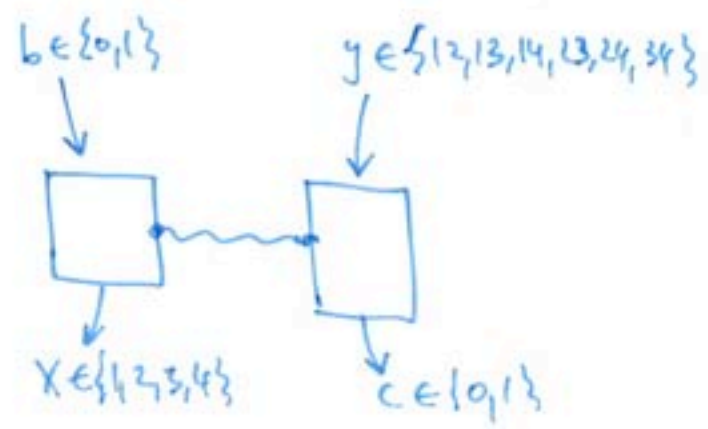
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Remark:

$\tilde{\chi}$  depends only on  $\mathcal{Q}$ , but for  $\chi_{NS}$  need  $\Gamma$ . That's because quantum states are distinguishable iff pairwise distinguishable; this is not true for general probabilistic theories...

Reverse problem: simulate  $N$  perfectly with limited noiseless communication & pre-shared correlations (at least SR).

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This becomes an equality when the l.h.s. is minimized over all  $N$  with given  $\Gamma$ .  
I.e., zero-error reversibility for all  $\Gamma$  when assisted by NS correlations!

# III. Quantum channels

C.p.t.p. map  $\mathcal{N} : \mathcal{I}(A) \rightarrow \mathcal{I}(B)$ , written either

in Kraus form  $\mathcal{N}(\rho) = \sum_j E_j \rho E_j^\dagger$  ( $\sum_j E_j^\dagger E_j = \mathbb{1}$ )

or Stinespring form  $\mathcal{N}(\rho) = \text{Tr}_C V \rho V^\dagger$  ( $V : A \hookrightarrow B \otimes C$  isometry)  
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Want to communicate error-free classical or quantum information; assisted by entanglement or not ...



To encode classical information : need input states

$$\varphi_m = |\varphi_m\rangle\langle\varphi_m|, \varphi_{m'} = |\varphi_{m'}\rangle\langle\varphi_{m'}| \quad (\text{w.l.o.g. pure}) \quad \text{s.t.}$$

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Hence regard  $S \subset \mathcal{L}(A)$   
as "non-commutative" (compossibility) graph ...

Examples:

- $S = \mathbb{C} \mathbb{1}$  iff  $\mathcal{N}$  is an isometry
- For trivial ( $\equiv$  constant) and many other channels (e.g. depolarizing noise):  $S = \mathcal{I}(A)$  complete graph

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---

... Also composition of channels, direct sums, etc,  
 translate nicely into graph language. E.g. for channels  $\mathcal{N}_1, \mathcal{N}_2$  with graphs  $S_1, S_2$ , tensor product  $\mathcal{N}_1 \otimes \mathcal{N}_2$  has graph  $S_1 \otimes S_2$ .

Using non-commutative graph  $S \leq \mathcal{L}(A)$ :

- Independence number  $\alpha(S) := \max M$  s.t.  $\exists |\varphi_1\rangle, \dots, |\varphi_M\rangle \in A$   
 $\forall m \neq m' \quad |\varphi_m \times \varphi_{m'}| \perp S$

(Clearly,  $\alpha(S)$  equals the max. # of 0-error messages via  $\mathcal{N}$ .)

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- Quantum independence number  $\alpha_q(S) := \max \text{rank } P$  s.t.  $P = P^\dagger P \in \mathcal{L}(A)$   
 projector with  
 $PSP = \mathbb{C}P$

(I.e. the Knill-Laflamme condition for the support of  $P$  being a quantum error correcting code for  $\mathcal{N}$ .)

• Entanglement-assisted independence number

$$\tilde{\chi}(S) := \max M \text{ s.t. } \exists F_1, \dots, F_M \in \mathcal{L}(A) \otimes \mathcal{L}(R)$$

$$\forall m \neq m' \quad F_m F_{m'}^\dagger \perp S \otimes \mathcal{L}(R)$$

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Then, obvious definitions of capacities:

$$Q_0(S) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \alpha_n(S)$$

$$C_0(S) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \alpha(S)$$

$$C_{0E}(S) = \lim_{n \rightarrow \infty} \frac{1}{n} \log \tilde{\chi}(S)$$

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III-20

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- Superactivation:  $\exists S_1, S_2$  with  $C_0(S_1) = C_0(S_2) = 0$  but  $C_0(S_1 \otimes S_2) \geq Q_0(S_1 \otimes S_2) > 0$   
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•  $\alpha_q(S) \leq \alpha(S) \leq \tilde{\alpha}(S) \leq 1 + \dim S^\perp$

A quantum solution of  $\text{Schrödinger}$ :

III-21

A quantum extension of Lovász'  $\vartheta$ :

Proto-definition:  $\vartheta(S) := \max_T \| \mathbb{1} + T \|$  s.t.  $T \perp S$   
 and  $\mathbb{1} + T \geq 0$

[ Easy to see that  $\alpha(S) \leq \vartheta(S)$  and  $\vartheta(S) = \vartheta(G)$  for classical channel. However, not SDP and not multiplicative! ]

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Proper definition:  $\tilde{\vartheta}(S) := \sup_n \vartheta(S \otimes \mathcal{L}(\mathbb{C}^n))$   
 $= \sup_n \max_T \| \mathbb{1} \otimes \mathbb{1}_n + T \|$  s.t.  $T \in S^\perp \otimes \mathcal{L}(\mathbb{C}^n)$   
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- Can be recast as SDP
- It's multiplicative
- $\tilde{\alpha}(S) \leq \tilde{\vartheta}(S)$ , hence  $\log_{OE}(S) \leq \log \tilde{\vartheta}(S)$

Proof that  $\tilde{\mathcal{L}}(S) \subseteq \tilde{\mathcal{I}}(S)$ :

E-assisted independent set : given by state  $\rho$  on  $A \otimes R$   
and unitaries  $U_m$  ( $m=1, \dots, M$ )  
s.t.  $U_m \rho U_m^\dagger \in S^\perp \otimes \mathcal{L}(R) \quad \forall m \neq m'$



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Define  $T := \sum_{m+m'} U_m X U_{m'}^\dagger \otimes |\psi\rangle\langle\psi| \in S^\perp \otimes \underbrace{\mathcal{L}(R \otimes \mathbb{C}^M)}_{=: R'}$

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and check  $\mathbb{1} + T \geq \sum_{m, m'} U_m X U_m^\dagger \otimes |m\rangle\langle m'|$

$$= \left( \sum_m U_m \sqrt{X} \otimes |m\rangle \right) \left( \sum_{m'} U_{m'} \sqrt{X} \otimes |m'\rangle \right)^\dagger \geq 0$$

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Finally,  $\|\mathbb{1} + T\| \geq \left\| \sum_m U_m \sqrt{X} \otimes |m\rangle \right\|^2$   
 $\geq \left\| \sum_m U_m |\varphi\rangle \otimes |m\rangle \right\|^2 = M$   $\square$

## IV. Conclusion and open questions

(1)  $\tilde{I}(S) \leq \tilde{J}(S)$  for classical channels  
gives  $\tilde{I}(G) \leq \tilde{J}(G)$  — improving Lovász' bound  
because we know that  $\alpha(G) < \tilde{I}(G)$ , sometimes.

# IV. Conclusion and open questions

(1)  $\tilde{Q}(S) \leq \tilde{I}(S)$  for classical channels  
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(2) Is  $C_{0E}(S) = \log \tilde{I}(S)$  ?

# IV. Conclusion and open questions

(1)  $\tilde{\chi}(S) \leq \tilde{\nu}(S)$  for classical channels  
 gives  $\tilde{\chi}(\mathcal{G}) \leq \tilde{\nu}(\mathcal{G})$  — improving Lovász' bound  
 because we know that  $\chi(\mathcal{G}) < \tilde{\chi}(\mathcal{G})$ , sometimes.

(2) Is  $C_{OE}(S) = \log \tilde{\nu}(S)$ ?

Interesting test cases:

- classical channels, esp.  $\mathcal{G}$  for which  $C_0(\mathcal{G}) < \log \tilde{\nu}(\mathcal{G})$  is known
- $S = \begin{bmatrix} \lambda_0 & & \\ & \ddots & \\ & & -1 \end{bmatrix}^\perp$  with  $1 \leq \lambda_0 \leq d-1$

Note that  $\tilde{\chi}(S) = 2$ ,  $\tilde{\nu}(S) = \lambda_0 + 1$ ; we don't even know whether  $\tilde{\chi}(S \otimes S) > 4$ !

(3) Better bounds on  $\alpha(s)$  ?



(3) Better bounds on  $\alpha(r)$ ?

[ Could be useful to separate  $\alpha$  and  $\tilde{\alpha}$ , but also  
— if multiplicative — to separate  $C_0$  and  $C_{0E}$ ,  
which we'd really like to do for classical  $S$  ]

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(4) Feedback-assisted capacity  $C_{0F}(N) = ?$

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(4) Feedback-assisted capacity  $C_{0F}(N) = ?$

[ We know it's only a function of  $\Gamma = \text{span} \{ E_j : j \}$   
 $\in \mathcal{L}(A \rightarrow B)$   
 (generalises the bipartite graphs of classical case ...)

- Extension of Shannon's 1956 theory?

- Multiplicative extension of fractional packing number?