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IEGULDĪJUMS TAVĀ NĀKOTNĒ

Transient modelling of Czochralski silicon crystal growth process

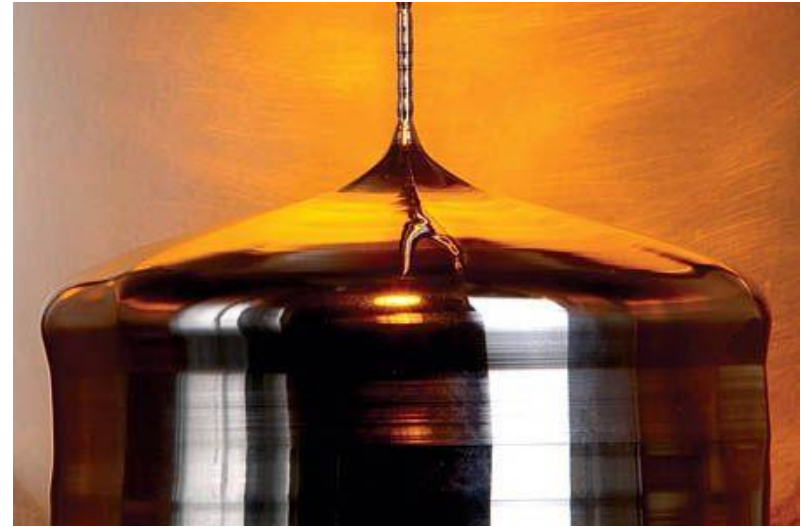
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Content

- Introduction
- Mathematical model
- Simulation results
- Conclusions



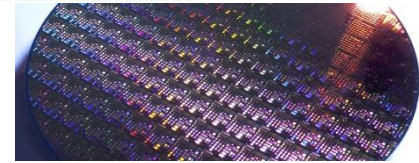
[http://www.solarnovus.com/uploads
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Introduction

- Czochralski process is used to obtain single mono-crystal, which then is usually used in electronics industry
- Creating mathematical model for CZ process allows to study model parameters during crystal growth



<http://hualieurope.com/en/images/sy/djgb.jpg>



http://www.siliconmaterials.com/wp-content/uploads/2011/11/iStock_000011002999Medium-930x355.jpg

Mathematical model

- Heat transfer by conduction:

$$\rho c_p \frac{\partial T}{\partial t} = \nabla[\lambda(T) \nabla T]$$

$$\rho c_p \frac{\partial T}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left[r \lambda(T) \frac{\partial T}{\partial r} \right] + \frac{\partial}{\partial z} \left[\lambda(T) \frac{\partial T}{\partial z} \right]$$

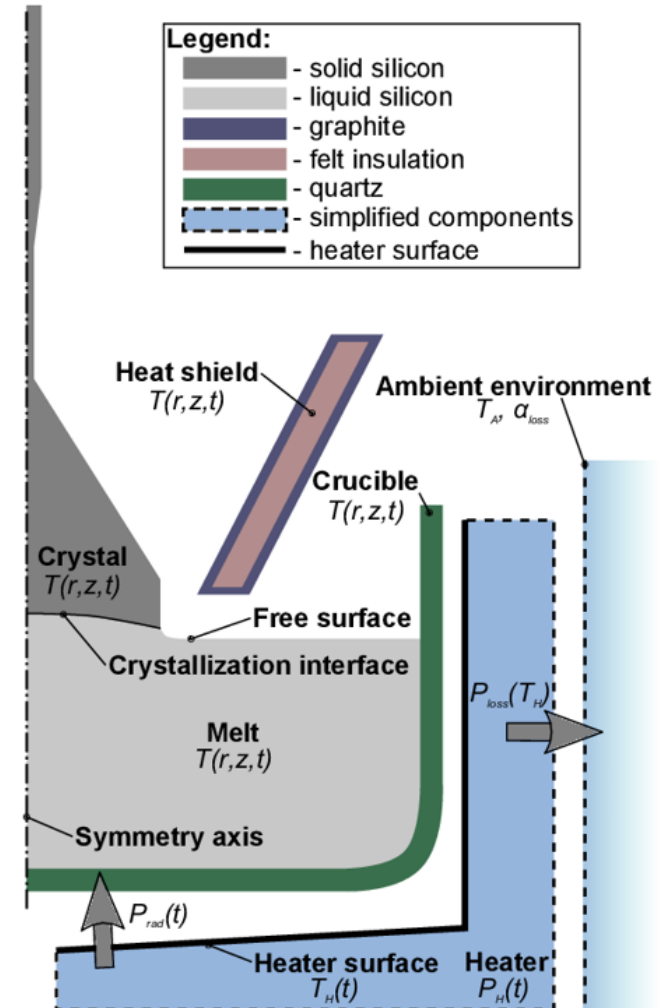
- Heat transfer by thermal radiation

- radiation is dispersed
- ambient temperature

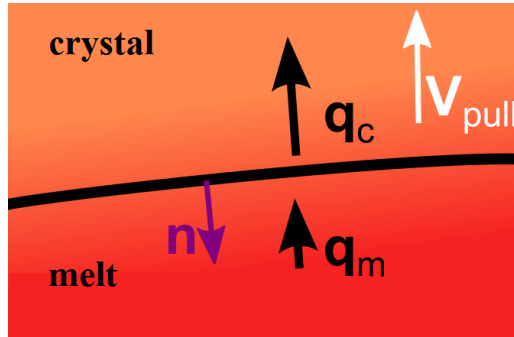
$$q^{rad}(dS) = \varepsilon(dS) \sigma_{SB} T^4 - q^{amb}(dS)$$

- Boundary conditions:

- $T = T_0$ on crystallization interface
- $\frac{\partial T}{\partial r} = 0$ on symmetry axis ($r = 0$)
- $\lambda(T) \frac{\partial T}{\partial n} = -q^{rad}$ on radiating surfaces



Mathematical model



heat balance: $q_c = q_m + \rho_c q_0 V_n$

$$v_n = V_n - \vec{V}_{pull} \cdot \vec{n} = \frac{q_c - q_m}{\rho_c q_0} - \vec{V}_{pull} \cdot \vec{n}$$

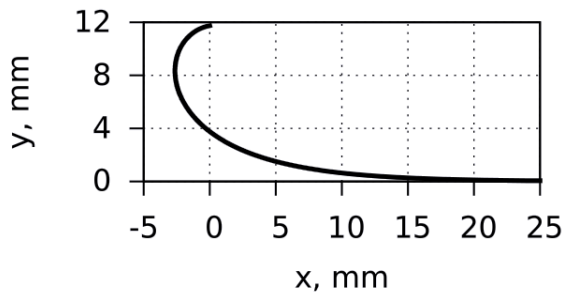
interface translation: $\Delta \vec{x} = \vec{n} \cdot v_n \Delta t$

q_0 - crystallization heat

V_n - crystallization speed in $\vec{n}$ direction relative to crystal reference frame

v_n - crystallization speed in $\vec{n}$ direction relative to laboratory reference frame

Free surface shape



$$\gamma \frac{y''}{(1 + y'^2)^{3/2}} = \rho g y$$

$$x = -l_c \operatorname{acosh} \frac{2l_c}{y} + l_c \sqrt{4 - \frac{y^2}{l_c^2}} + x_0$$

γ - surface tension

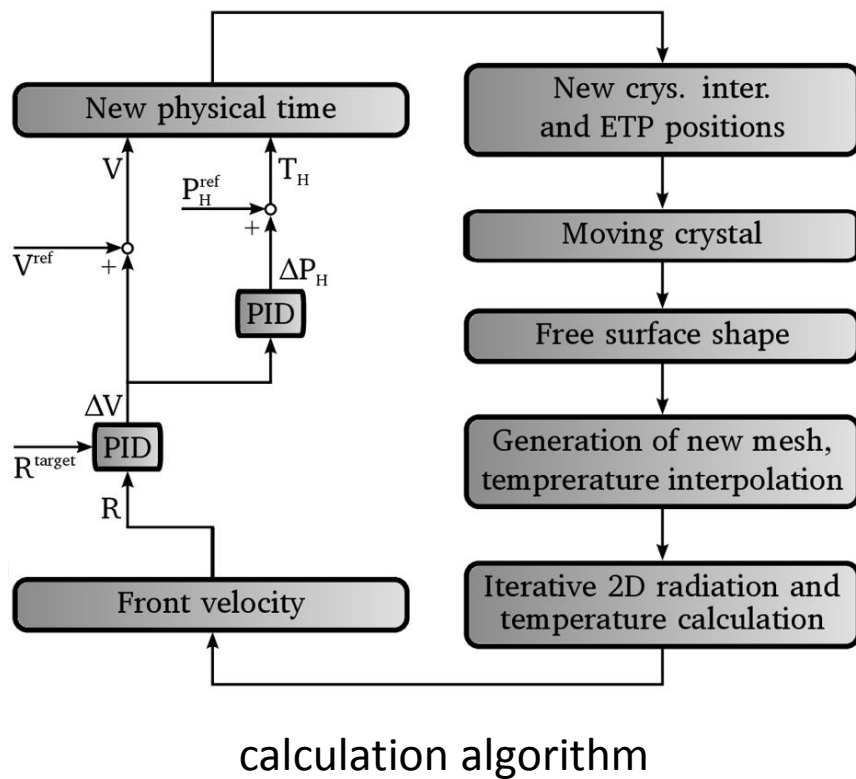
$l_c = \sqrt{\frac{\gamma}{\rho g}}$ - capillarity

$h = l_c \sqrt{2 - 2 \sin \alpha}$ - meniscus height

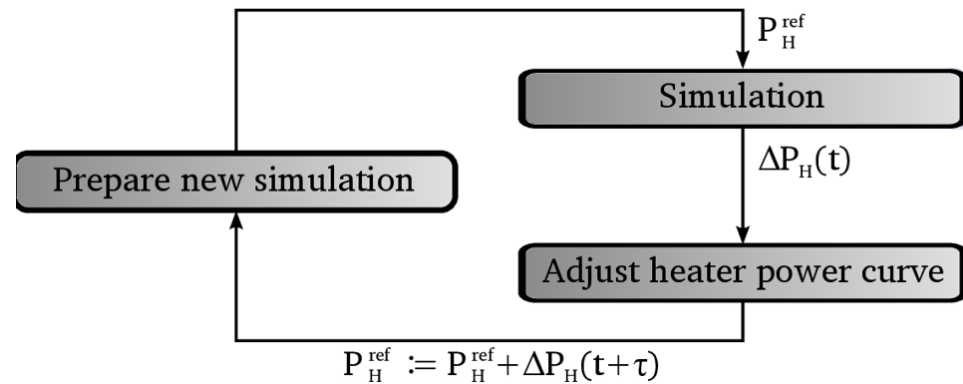
α - angle between free surface and vertical direction

x_0 - is found from $y(x = 0) = h$

Mathematical model



calculation algorithm



algorithm for obtaining power curve

- after each simulation, necessary power curve computed by a PID controller is added to power curve
- $\tau = 40 \text{ min}$ is used

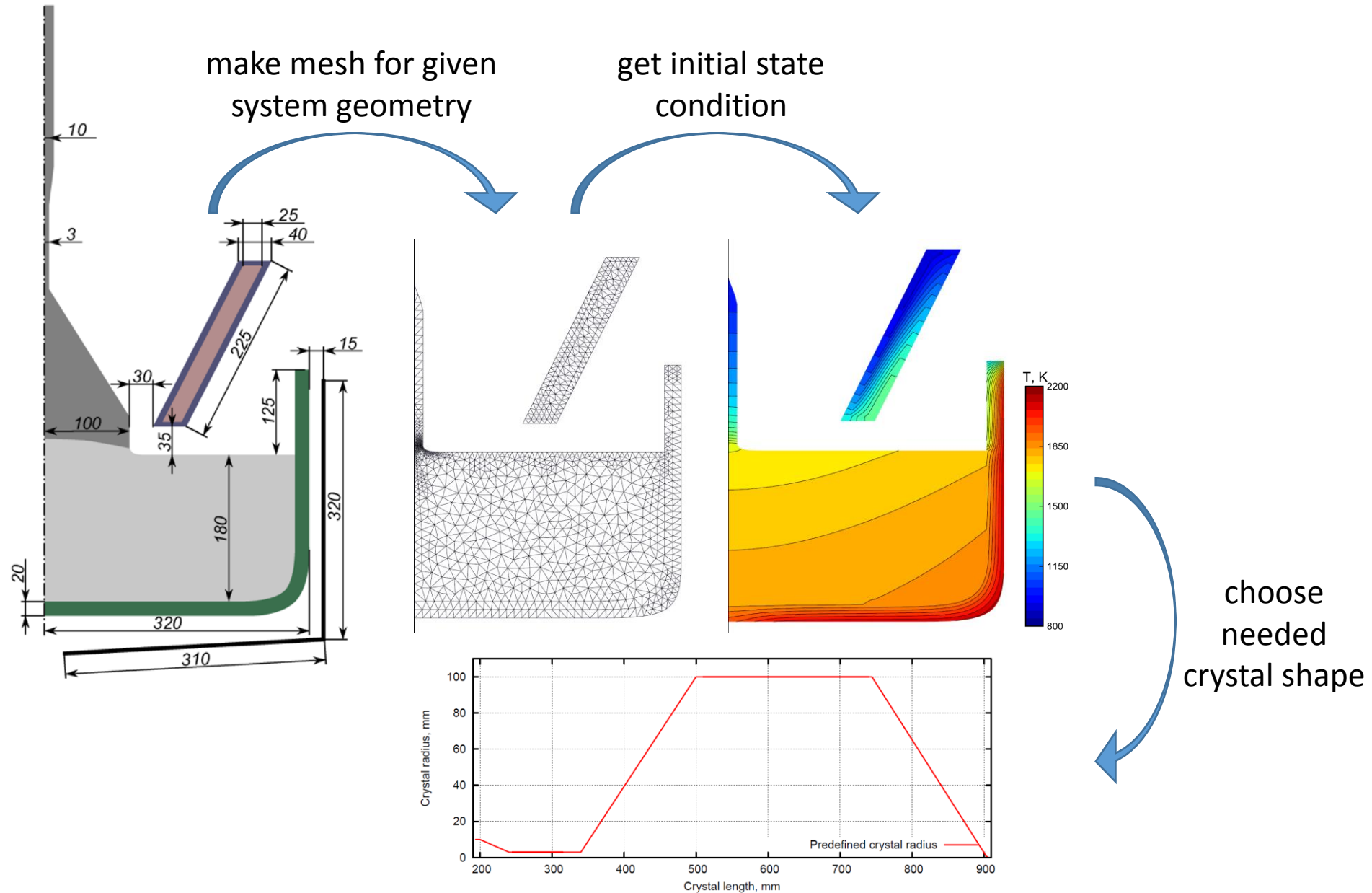
PID controller:

$$\Delta u(t) = K_p \left(e(t) + T_d \frac{d}{dt} e(t) + \frac{1}{T_i} \int_0^t e(\tau) d\tau \right)$$

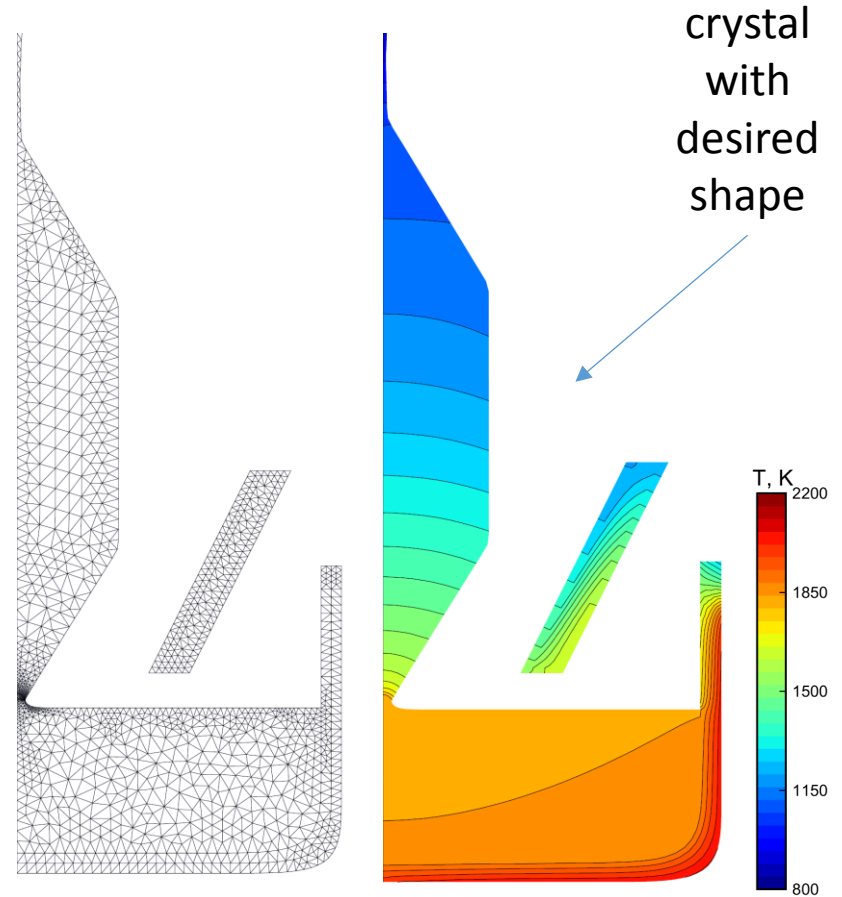
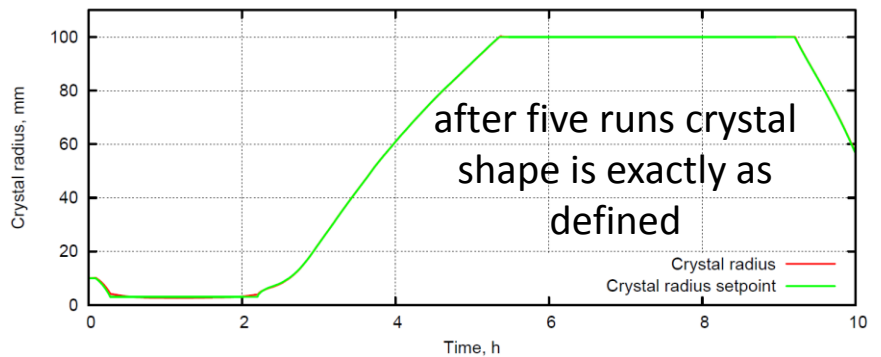
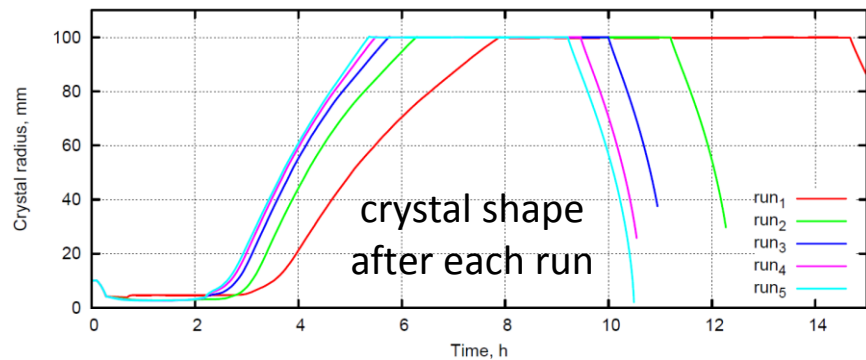
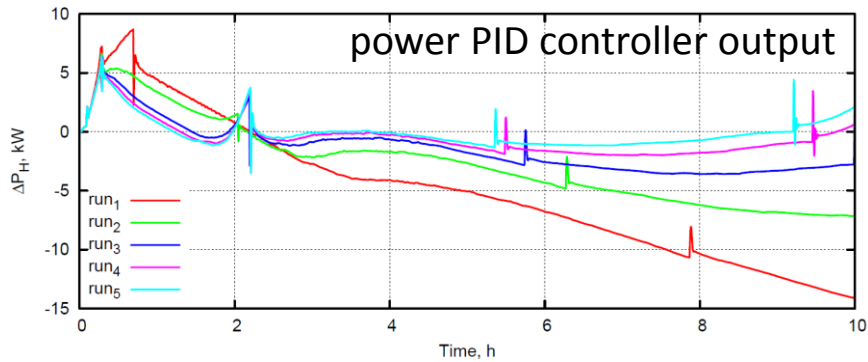
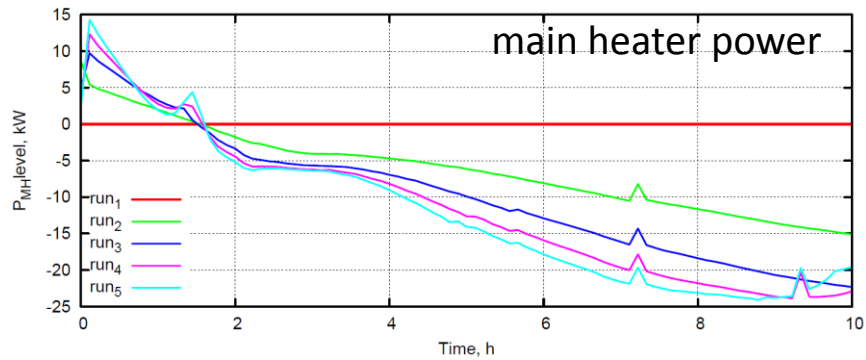
$$e(t) = f_0(t) - f(t)$$

$$u(t) := u(t) + \Delta u(t)$$

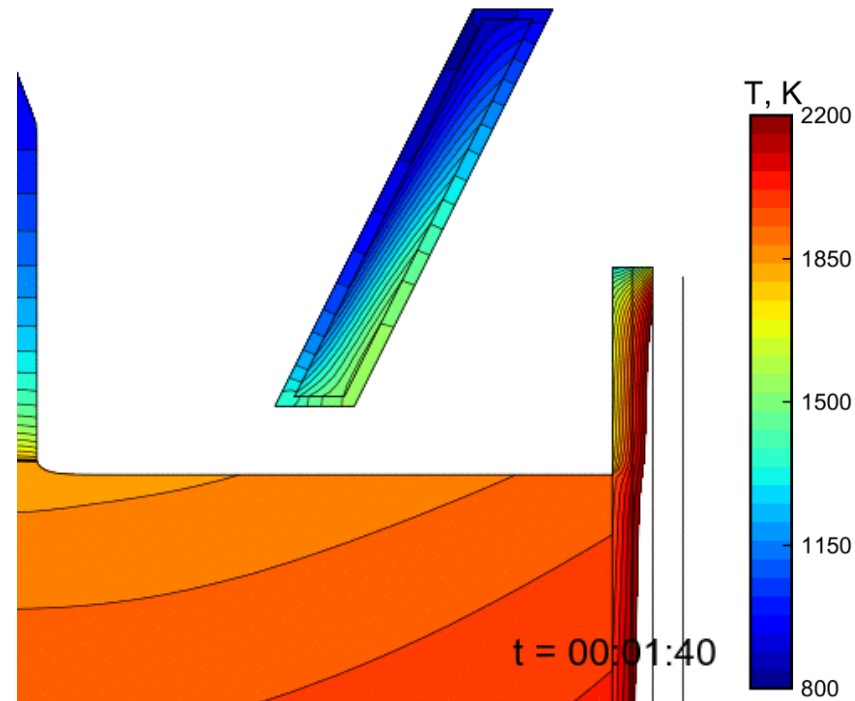
Mathematical model



Simulation results



Simulation results



Thermal stresses

stress vector: $\vec{T} = \lim_{\Delta S \rightarrow 0} \frac{\Delta \vec{F}}{\Delta S}$

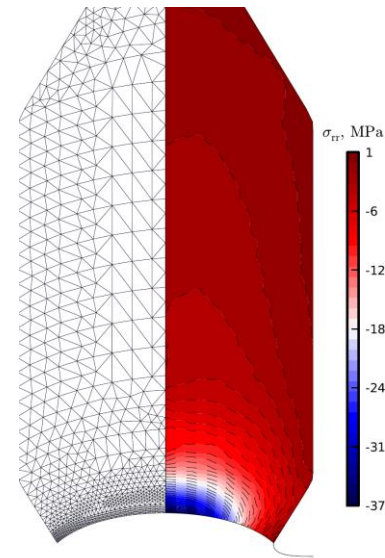
stress tensor
matrix

stress on surface with normal $\vec{n}$: $\vec{T} = \sigma \vec{n}$

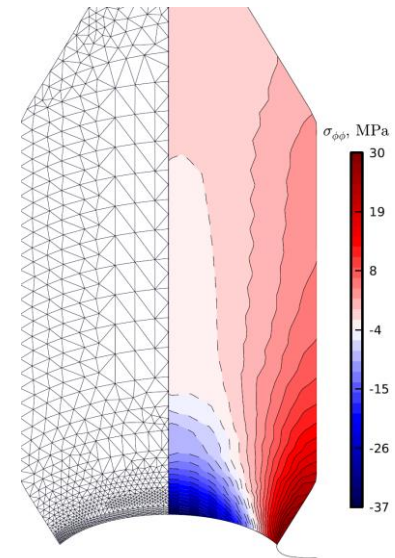
$$\sigma_{CYL}(r, z) = \begin{pmatrix} \sigma_{rr} & 0 & \sigma_{rz} \\ 0 & \sigma_{\phi\phi} & 0 \\ \sigma_{rz} & 0 & \sigma_{zz} \end{pmatrix} \quad c = \begin{pmatrix} \cos \phi & -\sin \phi & 0 \\ \sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\sigma_{CART}(r, \phi, z) = C \sigma_{CYL}(r, z) C^{-1}$$

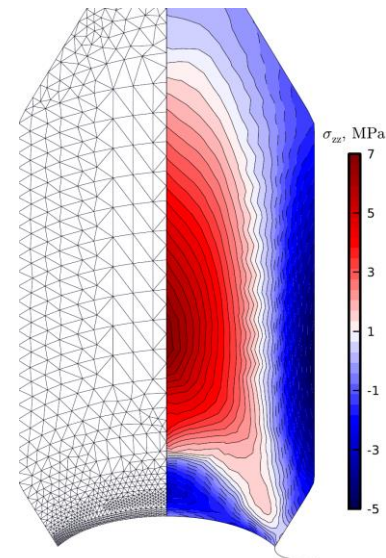
obtained temperature
field is used to find
thermal stresses in
crystal



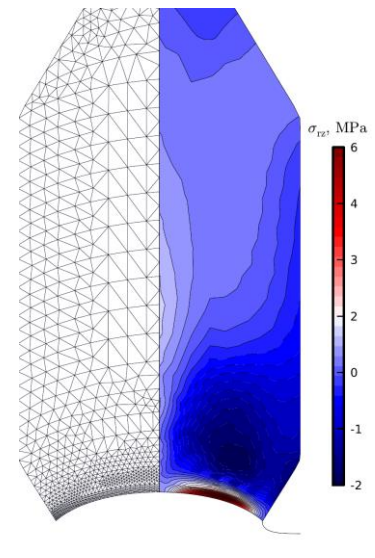
σ_{rr}



$\sigma_{\phi\phi}$



σ_{zz}

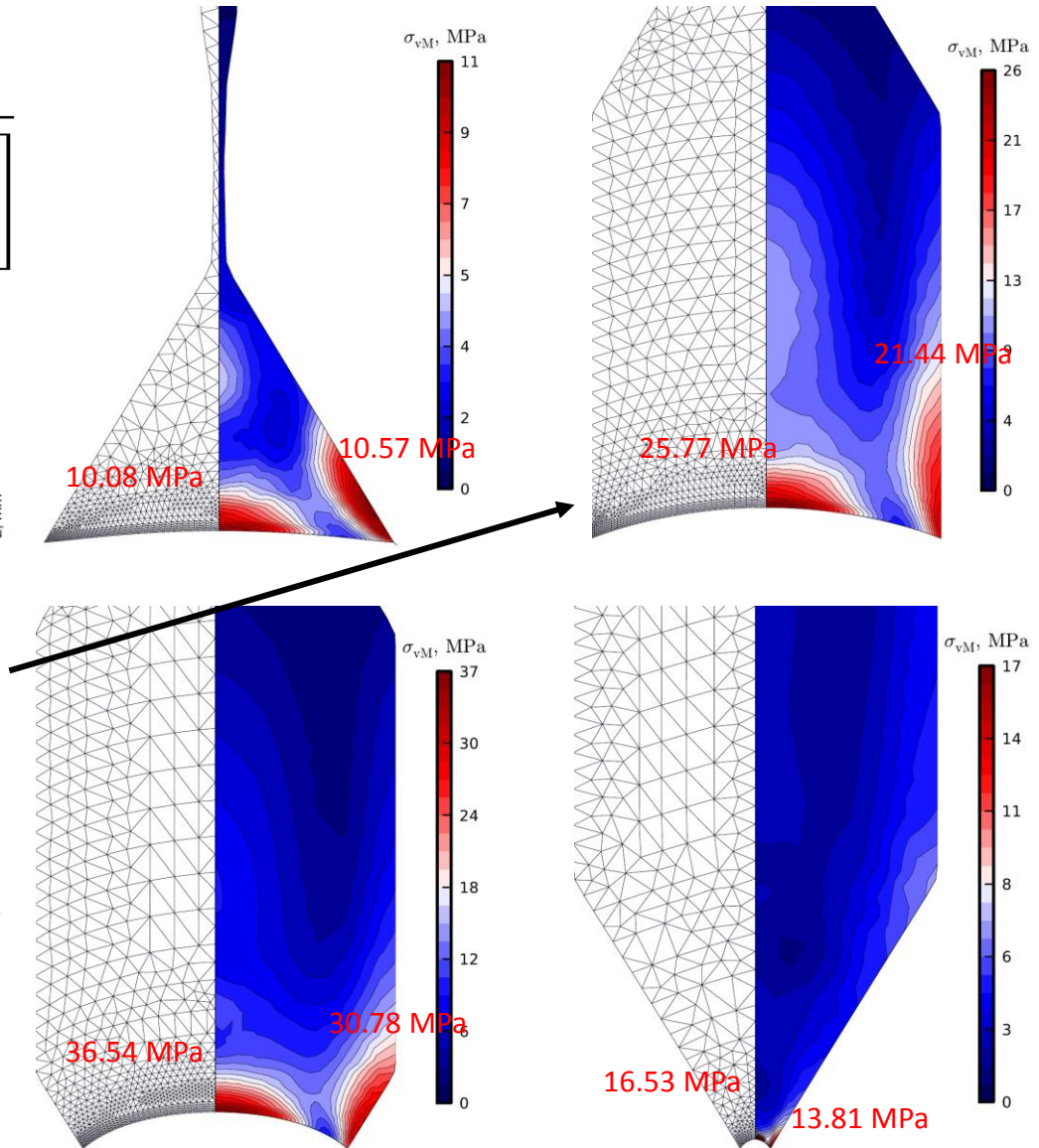
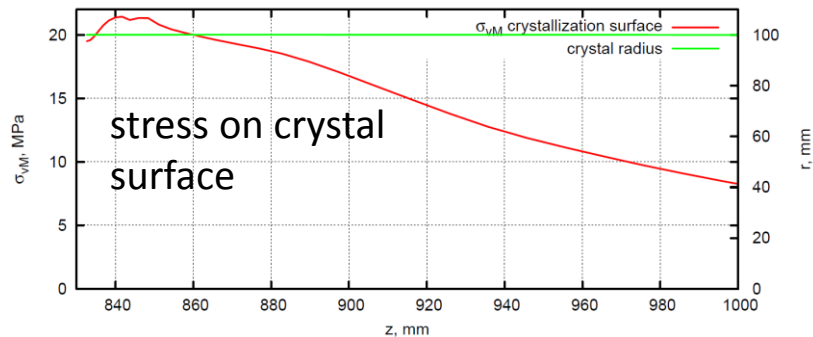
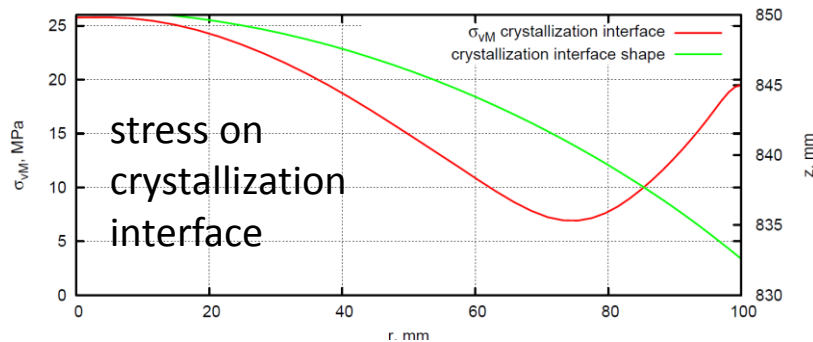


σ_{rz}

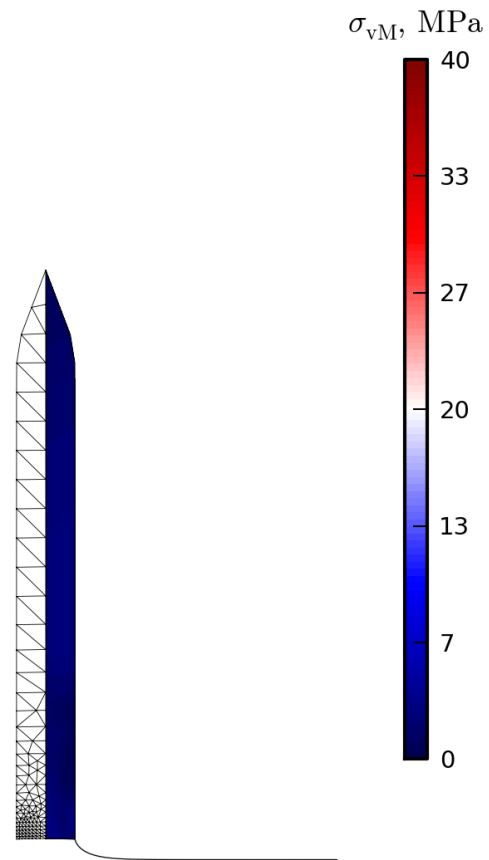
Thermal stresses

von Mises stress:

$$\sigma_{vM} = \sqrt{\frac{1}{2} \left[(\sigma_{rr} - \sigma_{\varphi\varphi})^2 + (\sigma_{\varphi\varphi} - \sigma_{zz})^2 + (\sigma_{zz} - \sigma_{rr})^2 + 6(\sigma_{rz})^2 \right]}$$



Thermal stresses



Conclusions

- Transient model of CZ process has been used to simulate crystal growth
- To get optimal values for PID controller and time-shift τ parameter study is required
- Using obtained crystal thermal field, thermal stresses in crystal were found
- Obtained thermal stress field could be used for further study of point defects in crystal