3D modelling of the influence of the inductor on the phase boundaries in FZ crystal growth MHD in crystal growth

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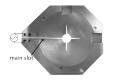


Introduction

- Floating zone (FZ) silicon crystal growth industrially important process
- Well developed mathematical modelling tools are available
- Mainly axisymmetric and simplified models
- Improvement of the existing model:
 - o 3D free melt surface shape due to non-symmetric EM pressure
 - Coupling of the 3D free melt surface shape with the global heat exchange
- Numerical investigation for processes with crystal diameter of 4" and 5"

General properties of FZ silicon crystal growth

- Highest purity Si crystals $n_{O,C} < 10^{16} \, \mathrm{cm}^{-3}$
- HF induction coil for Si melting





Real inductor (IKZ, Berlin)

Inductor 3D model

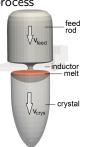
- Large diameter (...200 mm) single crystals grown for wafer production
- Wafers used in:
 - o power electronics
 - high efficiency solar cells

Experimental setup



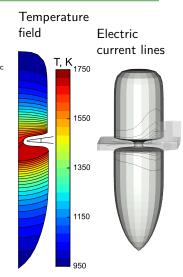
B. Andreas et al., *Metrologia* 48, S1-S13, 2011

3D model of the process



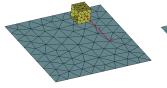
Standard Calculation Algorithm in Program FZone

- G. Ratnieks et al., Modelling of phase boundaries for large industrial FZ silicon crystal growth with the needle-eye technique, JCG, 255, 227-240 (2003)
- A. Muižnieks et al., Development of numerical calculation of electromagnetic fields in FZ crystal growth process, MHD, 46, 475-486 (2010)
- Quasi-transient approach to find quasi-stationary solution
- 2. 3D high frequency electromagnetic field calculations
- Azimuthal averaging of the induced heat sources for temperature B.C. and EM pressure
- 4. Axisymmetric (2D) temperature calculation in silicon parts (feed rod, molten zone, crystal)
- Iterative coupling of temperature field and radiation (view factors)
- Axisymmetric (2D) calculation of the shape of molten zone (melt free surface, melting and crystallization interfaces)

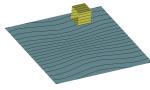


Mathematical model for 3D HF EM field

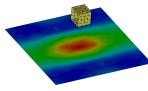
- Used for calculating heat source distribution $q_{\rm EM}=\sqrt{\frac{\pi\mu_0f}{\sigma}}j^2$ and EM pressure $p_{\rm EM}=\frac{1}{2}\mu_0j^2$
- ightarrow pprox 3 MHz frequency ightarrow distinct skin-effect ightarrow surface currents only
 - EM field determined by surface current density \vec{j} : $\vec{\nabla} \cdot \vec{j} = 0$
 - Electric stream function $\vec{\Psi}=(0,0,\Psi_{\rm n})$ introduced: $\vec{\nabla} \times \vec{\Psi}=\vec{j}$
 - BEM; Biot-Savart law for vector potential: $\vec{A}(P) \approx \sum_{k=1}^{N} \frac{\vec{j}_k}{r_{P,k}} S_{\Delta,k}$



Boundary element mesh



 Ψ_n isolines



Heat source distribution

Mathematical model for 3D free melt surface

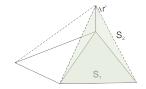
- Hydrostatic approach to find the equilibrium shape of energy minimum
- Forces acting on the free surface:

$$\circ$$
 Surface tension $ec{\mathsf{F}}_{\sigma} = \sigma rac{\partial \mathcal{S}}{\partial ec{r}}$

$$\circ$$
 Gravity $ec{\mathsf{F}}_{\mathsf{g}} = -rac{\partial \mathit{E}_{\mathsf{g}}}{\partial ec{r}}$

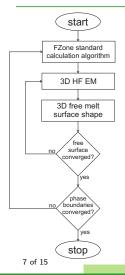
$$\circ$$
 Hydrostatic $ec{\mathsf{F}}_0 = p_0 rac{\partial V}{\partial ec{r}}$

$$\circ$$
 Electromagnetic $ec{\mathsf{F}}_{\mathsf{EM}} = -p_{\mathsf{EM}} rac{\partial V}{\partial ec{r}}$



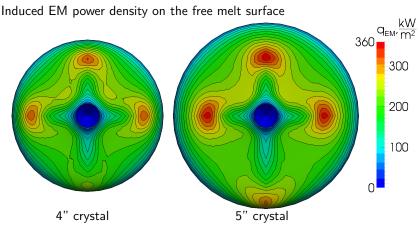
- Discretization with linear triangular elements
- Nodes are shifted in normal direction only
- Loop of 3D EM and free surface calculations is repeated until convergence

Coupling 3D EM and free surface model with 2D heat transfer model of FZone



- Initial geometry, calculation and process parameters provided for FZone program
- Converged solution gives new phase boundaries and inductor current
- EM pressure distribution calculated by 3D HF EM program
- 3D free melt surface shape found iteratively
- Updated phase boundaries and averaged heat sources for FZone
- Global coupling until stationary solution is reached

Calculation results of 3D HF EM field



Pronounced power maxima below the edges of the additional slots Considerably higher power maximum below main slot in 5" case $_{8\text{ of }15}$

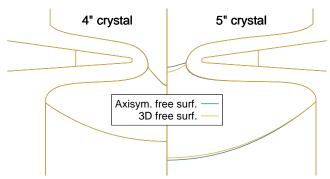
Inductor current and deflection of the crystallization interface for 4" and 5" processes obtained by both models.

| Crystal diameter | Free surface model | Inductor current I, A | Deflection H _C , mm |
|------------------|--------------------|-----------------------|-----------------------------------|
| 4" | Axisymmetric 3D | 898 896 | 16.2 16.3 |
| 5" | Axisymmetric 3D | 1074 1071 | 24.6 23.6 |

Definition of deflection of crystallization interface:

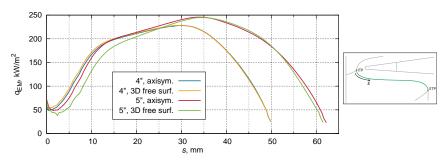


Shapes of the axisymmetric phase boundaries



- Practically identical results in 4" case
- Decreased deflection of crystallization interface in 5" case

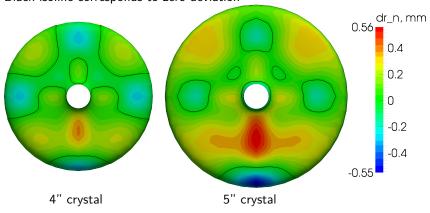
Azimuthally averaged induced heat sources on the free melt surface



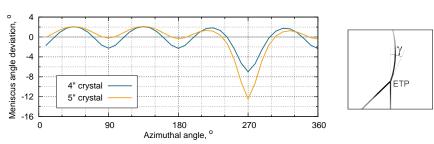
Considerably less induced power for 5" 3D free surface near ITP

Calculation results of 3D free melt surface shape

Deviation from the axisymmetric profile in the normal direction, Black isoline corresponds to zero deviation



Azimuthal distribution of the meniscus angle deviation from the growth angle of silicon (11°)



- More pronounced non-symmetric behaviour for larger crystal diameter due to the main slot
- Crystals are rotated to avoid the non-symmetric growth

Conclusions

- 1. Mathematical model of coupled 3D free melt surface shape and global heat transfer has been developed
- 2. Influence of the 3D free melt surface shape on the whole system:
 - Negligible for 4" crystal
 - Observable for 5" crystal
 - Corresponds to the increase of non-symmetry of induced heat sources
- 3. The introduced coupling should be used for large diameter crystals
- 4. Inductor main slot has a strong influence on the meniscus angle

Thank you for your attention!